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PATENT APPLICATION
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Jose V. Torres

Appln. No.: **10/072,084**

#6

Filed: **February 8, 2002**

For: **IMMUNOGENIC FORMULATION AND PROCESS FOR PREPARATION
THEREOF**

Attorney Docket No.: **3648.032**

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97 and §1.98

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the following documents for the above-identified application, which were cited in the International Search Report. Copies of the documents set forth below and listed on the attached Form PTO-1449, and copies of the search reports are provided herewith.

1. Anderson, David E., et. al; Overcoming Original (Antigenic) Sin; Clinical Immunology, Vol. 101, No. 2, November, pp. 152-157, 2001.

2. Carlos, Maria P., et. al; Immunogenicity of a Vaccine Preparation Representing the Variable Regions of the HIV Type 1 Envelope Glycoprotein; AIDS Research and Human Retroviruses, Vol. 16, No. 2, 2000, pp. 153-161, Mary Ann Liebert, Inc.
3. World Patent No. WO 94 00151 (Creagen Inc.)
4. Meyer, Debra, et. al; Induction of Cytotoxic and Helper T. Cell Responses by Modified Simian Immunodeficiency Virus Hypervariable Epitope Constructs; Viral Immunology, Vol. 12, No. 2, 1999, pp. 117-129, Mary Ann Liebert, Inc.
5. Meyer, Debra, et. al; Hypervariable Epitope Constructs Representing Variability in Envelope Glycoprotein of SIV Induce a Broad Humoral Immune Response in Rabbits and Rhesus Macaques; AIDS Research and Human Retroviruses, Vol. 14, No. 9, 1998, pp. 751-760, Mary Ann Liebert, Inc.

Documents 3-5 were cited as obvious "Y" type references.
Documents 1-2 were cited as relevant "X" type reference and as obvious "Y" type references.

U.S. PATENT APPLICATION
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INFORMATION DISCLOSURE STATEMENT

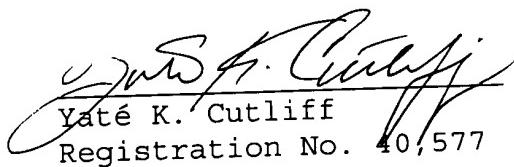
ATTY. DOCKET: 3648.032

The present Information Disclosure Statement is being filed
(1) no later than three months from the application's filing
date, or (2) before the mailing date of the first Office Action
on the merits and, therefore, no Certification Under 37 C.F.R.
§1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as
an admission that any such document constitutes prior art
against the claims of the present application. Applicant does
not waive any right to take any action that would be appropriate
to antedate or otherwise remove any listed document as a
competent reference against the claims of the present
application.

Applicant respectfully requests that the listed documents
be considered by the Examiner and be made of record in the
present application and that an initialed copy of Form PTO-1449
be returned in accordance with MPEP §609.

Respectfully submitted,


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Date: April 1, 2003

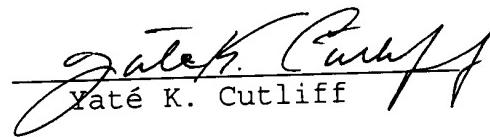
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CERTIFICATE OF MAILING AND AUTHORIZATION TO CHARGE

I hereby certify that a copy of the foregoing INFORMATION DISCLOSURE STATEMENT, Form PTO-1449, and five references and attachments thereto for U.S. Application No. 10/072,084 filed February 8, 2002, were deposited in first class U.S. mail, postage prepaid, addressed: Attn: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this the 1st day of April, 2003.

The Commissioner is hereby authorized to charge any additional fees, which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment, to Deposit Account No. 16-0877.



Yaté K. Cutliff
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INFORMATION DISCLOSURE CITATION <small>(Use several sheets if necessary)</small>			ATTY DOCKET NO. 3648.032		SERIAL NO. 10/072,084	
			Applicant: Jose V. Torres FILING February 8, 2002		GROUP 1646	
U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
RECEIVED APR 07 2003 TECH CENTER 1600/2900						
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
	WO 94 00151	06/01/94	World Patent	A61K	39/12	<input checked="" type="checkbox"/>
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>						
			Anderson, David E., et. al; Overcoming Original (Antigenic) Sin; Clinical Immunology, Vol. 101, No. 2, November, pp. 152-157, 2001			
			Carlos, Maria P., et. al; Immunogenicity of a Vaccine Preparation Representing the Variable Regions of the HIV Type 1 Envelope Glycoprotein; AIDS Research and Human Retroviruses, Vol. 16, No. 2, 2000, pp. 153-161, Mary Ann Liebert, Inc.			
EXAMINER			DATE CONSIDERED			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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